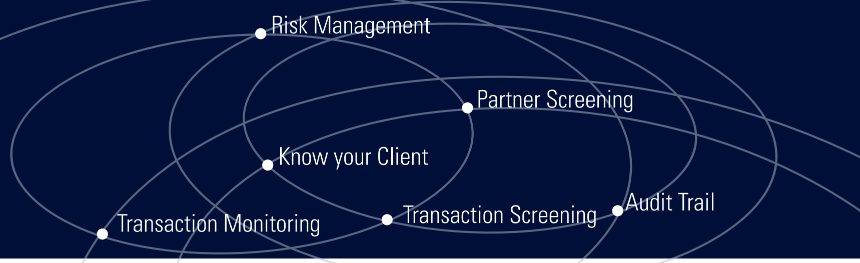
PYTHAGORAS
www.pythagoras-solutions.com



Client Management System

All you need – in one place

The Pythagoras Client Management System is a modular solution that enables the Client Relationship Manager, Compliance, Auditing, back office and top management departments to be always up to date and on the same page. The modules, all complementing each other, can be deployed individually as you need them, when you need them and configured to your requirements. You can manage the whole relationship with your clients within one solution, saving time and ensuring consistent data and services.

Main Advantages

- All client details in one place with full audit trail and history for KYC requirements
- Deployed solution ensures data security, no internet connection required
- Risk based client segmentation according to internal factors can be configured as required
- Contact log with all communications, calls, appointments, activities and reminders
- Client financial profile for better service and appropriate suggestions
- Relation Network to link family members and business connections

Within the modules you can manage:

- Addresses and contact details
- Forms and documents
- Relationship Network
- Risk factors and categories
- Source of wealth and tax affiliation
- Education and Interests
- Business relationship
- Financial status and Investments

Key Features

- Easily manage multiple addresses, contact information and instructions
- Keep your clients monitored for updates from watchlists or changes in risk profile
- Maintain information on beneficiary owners, source of wealth, tax affiliation (e.g. for FATCA), financial products
- Document management with expiry date monitoring, e.g. for passport copies
- Calculate risk scores based on country risk, industry, profession or other criteria
- Modules can be added independently at any time, integrating seamless into the familiar Pythagoras user interface
- Easily file documents, emails, memos within the client record for reference
- Scalable from a single user installation to a multinational deployment with thousands of users
- Powerful report tools for regular and ad-hoc reports
- Prepared for Automatic Information Exchange to Tax Authorities
- Multiple languages for user interface

PYTHAGORAS
www.pythagoras-solutions.com

Pythagoras Ltd.
info@pythagoras-solutions.ch
+41 41 747 12 90

Modules of Client Management System

Detailed client information forms the core of the Pythagoras Client Management System (CMS), providing the opportunity to analyse your clients, retain rich client histories and identify their potential needs to enhance the business relationship.

Simultaneously this solution enables the monitoring of client information in order to meet compliance responsibilities according to current regulatory requirements.

Partner Profile

- Administration of master data, multiple addresses and mailing instructions
- Document management with control of completeness and renewal dates
- File storage and retrieval within the solution independently from format and location (vault function)
- Full audit trail and tamperproof client history
- Relationship Network management of private and business connections

Client Profile

- Manage sensitive financial and private information separately and in a protected manner
- Family relations, education and professional experience of the client
- Source of wealth and tax affiliation (e.g. for FATCA compliance)
- Financial ratios, annual income, assets, inflows and outflows of larger amounts
- Investment profile and guidelines, analysis of client needs and potential
- Involvement in businesses and relationships with other financial institutes

Contact Management

- Recording all activities in relation to a client, providing evidence of communication
- Support different categories and statuses during the client relationship
- Logging of calls, meetings, emails with appointment dates and reminder function
- Case management and collaboration with different internal parties
- Easily drag-and-drop emails, documents, pictures and notes into the contact case for reference
- Reporting tools for pending or upcoming activities, open cases and more

Risk Management

- Multidimensional evaluation of different risk factors for each client, based on categories and scores (e.g. country risk, business risk, product risk)
- Providing a risk profile for a client according to individual risk classification criteria and weighting
- Very flexible configuration to fit existing corporate risk structure and values (e.g. low-medium-high, 1-10 or any other scale) or to meet specific requirements of regulators